SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b). File			Filed p	d pursuant to Section 16(a) of the Securities Exchange Act of 1934								
	. ,			or Section 30(h) of the Investment Company Act of 1940		_						
	Idress of Reporting IICHARD M (First)	Person [*] (Middle)		2. Issuer Name and Ticker or Trading Symbol <u>WESTWOOD HOLDINGS GROUP INC</u> [WHG] 3. Date of Earliest Transaction (Month/Day/Year)		ationship of Re all applicable Director Officer (give below)	e title	X	on(s) to Issuer 10% Owner Other (specify below)			
200 CRESCENT COURT SUITE 1200			11/18/2021	Chairman								
(Street) DALLAS	TX	75201		4. If Amendment, Date of Original Filed (Month/Day/Year) 11/22/2021	6. Indiv Line) X	Form filed b	by One	Repor	(Check Applicable rting Person One Reporting	e		
(City)	(State)	(Zip)				Person						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)				
common stock	11/18/2021		Р		502	A	\$17.5	53,868	D					
common stock	11/19/2021		Р		4,498	A	\$17.5	58,366	D					
common stock	11/18/2021		Р		1,516	A	\$16.65	59,882	D					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

				(e.g., pı	ıts, ca	alls, v	varra	ants,	options, o	convertib	le se	curities	a)			
l	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)			vative rities lired r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	Deriv	unt of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Remarks:

Julie K. Gerron as attorney-in-11/23/2021

<u>fact</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See